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## Independent Audit Committee

Tuesday 26 January 2021 at 09:30am  
Via MS Teams

### AGENDA

**1. Apologies for Absence**

To record apologies for absence received from members.

**2. Declarations of Interest, Equality and Health and Safety Obligations**

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

### OPEN AGENDA ITEMS

**3. Open Minute Items [FOIA - Open]**

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 29 October 2020.

**4. Open Items of Chair's Business [FOIA – Open]**

To be presented by the Chair.

**5. Devon & Cornwall Financial Strategies [FOIA – Open]**

**a. Draft Treasury Management Strategy –Devon and Cornwall**

**b. Draft Capital Strategy –Devon and Cornwall**

**c. Draft Reserves Strategy –Devon and Cornwall**

To be presented by Nicola Allen.

- 6. Dorset Financial Strategies [FOIA – Open]**
  - a. Draft Treasury Management Strategy – Dorset**
  - b. Draft Capital Strategy – Dorset**
  - c. Draft Reserves Strategy – Dorset**To be presented by Julie Strange.
- 7. Internal Audit Quarterly Update and Highlights [FOIA – Open]**

To provide an update on the progress of the Internal Audit Plan over the last quarter.

To be presented by Rupert Bamberger
- 8. External Audit Quarterly Report [FOIA – Open]**

To present an update of work of external audit over the last quarter and looking forward to the next quarter.

To be presented by Alex Walling/Mark Bartlett.
- ~~**9. Joint Annual Audit Letters [FOIA – Open]**~~

~~To be presented by Mark Bartlett.~~
- 10. PSAA Consultation on 2021/22 Audit Fee Scale [FOIA – Open]**

To be presented by Karen James.
- 11. Update on Fraud and Corruption Investigations [FOIA – Open]**

To provide an update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

## **CLOSED AGENDA ITEMS**

- 12. Closed Minute Items [FOIA – Closed (various)]**

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 29 October 2020.
- 13. Action Log [FOIA – Closed s.22]**

To review the action log and receive updates for ongoing actions.
- 14. Items of Chair's Business [FOIA - Closed (various)]**

To be presented by the Chair.
- 15. Code of Corporate Governance Devon and Cornwall [FOIA Closed (various)]**
  - a. Part 1 – Summary of Code of Governance**
  - b. Part 2 – Statement of Corporate Governance**
  - c. Part 3 – Scheme of Corporate Governance**

- Part 3A – Schedule of Roles and Responsibilities**
- Part 3B – Scheme of Delegation and Consent**
- Part 3C – Financial Regulations**
- Part 3D – Contract Standing Orders (plus Appendix D)**
- Part 3E - Committee Governance**

To be presented by Nicola Allen.

**16. Code of Corporate Governance Dorset [FOIA Closed (various)]**

To be presented by Julie Strange.

**17. Audit Action Update [FOIA –Closed s.22]**

To be presented by Karen James.

**18. Internal Audit - Summary of Limited Audit Opinions [FOIA –Closed s.22]**

To be presented by Rupert Bamberger.

**19. Update on the Budget Survey Process in Devon and Cornwall. [FOIA - Closed (various)]**

To be presented by Nicola Allen and PCC Alison Hernandez.

**20. Verbal Update on Winter Challenges for Policing and Assurance against Strategic Risks. [FOIA – Closed(various)]**

A verbal update by Chief Constable James Vaughan and PCC Martyn Underhill.

**21. Summary update to the Chief Constables and PCC's [FOIA – Closed s.22]**

To record from the Chair the update to be provided to the Chief Constables and PCC's.

## **Attendance**

Helen Donnellan	Chair and Committee Member
Alison Hernandez	Police and Crime Commissioner (Devon & Cornwall)
Martyn Underhill	Police and Crime Commissioner (Dorset)
James Vaughan	Chief Constable (Dorset Police)
Simon Bullock	Chief Executive OPCC Dorset
Tom Grainger	Committee Member
Gordon Mattocks	Committee Member
David Bowles	Committee Member
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)
Steve Mackenzie	Interim Assistant Chief Officer (Dorset Police Force)

Not Protectively Marked  
FOIA – Open

Julie Strange	Chief Financial Officer (Office of the Police and Crime Commissioner, Dorset)
Neal Butterworth	Head of Technical Accounting (Alliance)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Jo George	Senior Audit Manager
Lucinda Hines	Head of Technical Accounting
Helen Morgan	Executive Support Officer (Office of the Police and Crime Commissioner for Devon and Cornwall)
Rupert Bamberger	South West Audit Partnership
Mark Bartlett	Grant Thornton
Alex Walling	Grant Thornton
Steve Lyne	Chief Superintendent Dorset Police

## Apologies

Fran Hughes	Chief Executive OPCC Devon and Cornwall
Laura Wicks	South West Audit Partnership

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.

Not Protectively Marked  
FOIA – Open